



STATE OF UTAH - DEPARTMENT OF ADMINISTRATIVE SERVICES

Division of Facilities Construction and Management

DFCM

MULTI-STEP BIDDING PROCESS FOR GENERAL CONTRACTORS

Single Project---Short-Listing

Request for Submittals

January 7, 2008

SECURITY IMPROVEMENTS LONE PEAK FACILITY DRAPER PRISON

**DEPARTMENT OF CORRECTIONS
DRAPER, UTAH**

DFCM Project Number 07354100

Scott P. Evans Architect & Associates
108 West Center Street
Bountiful, Utah 84010

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DFCM Supplemental Conditions dated July 15, 2008 – By Reference
DFCM General Conditions dated May 25, 2005 --- By Reference

NOTICE TO CONTRACTORS

The State of Utah - Division of Facilities Construction and Management (DFCM) is requesting submissions for the following project:

Project Name: Security Improvements - Lone Peak Facility – Draper Prison
Department of Corrections - Draper, Utah

Project No. 07354100

Project Description: The project consists of upgrading and increasing security capabilities at the Lone Peak Facility. The project includes interior and exterior improvements.

Cost Estimate: \$ 3,000,000

DFCM is entering into a Multi-Step Bidding Process for Construction services. A short-listing of contractors will be based on the selection criteria outlined in the bidding documents contained herein. Short-listed contractors will be invited to submit bids on the project described above. **The only contractors allowed to bid on this project will be contractors short-listed by the selection committee.**

All contractors responding to this procurement must comply with and require all of their subcontractors to comply with the license laws as required by the State of Utah.

The bidding documents, short-listing requirements, and schedule will be available at 4:00 PM on Wednesday, January 7, 2009 on the DFCM web page at <http://dfcm.utah.gov> and from DFCM, 4110 State Office Building, Salt Lake City, Utah 84114, telephone (801) 538-3018. For questions regarding this solicitation, please contact Brian Bales, DFCM, at (801) 230-3129. No others are to be contacted regarding this solicitation.

A **mandatory** pre-submittal meeting to discuss the multi-step bidding process will be held at 1:00 PM on Monday, January 12, 2009 in the 1st Floor Conference Room, Administration Building, Department of Corrections, 14717 South Minuteman Drive, Draper, Utah. **The doors will be closed promptly at 1:00 PM. Those firms arriving after 1:00 PM will not be allowed to sign in or participate.** Plans and specifications will be made available at this meeting in CD electronic format.

When bidding on this project, short-listed contractors will be required to submit a Bid Bond in the amount of five percent (5%) of the bid amount, made payable to the Division of Facilities Construction and Management on DFCM's Bid Bond Form. A Bid Bond must accompany each bid.

The Division of Facilities Construction & Management reserves the right to reject any or all submittals/bids or to waive any formality or technicality in any submittal/bid in the interest of the State.

DIVISION OF FACILITIES CONSTRUCTION AND MANAGEMENT
MARLA WORKMAN CONTRACT COORDINATOR
4110 State Office Bldg., Salt Lake City, Utah 84114

DESCRIPTION OF WORK

The only contractors allowed to bid on this project will be contractors short-listed by the selection committee.

Project Description: The project consists of upgrading and increasing security capabilities at the Lone Peak Facility for the Department of Corrections. The improvements include interior and exterior modifications.

- **Interior:** Improvements include enclosing the existing unsecured open guard station by installing new glass partitions and doors. The hardening of this guard station will require a new HVAC split system and various control upgrades.
- **Exterior:** Improvements include perimeter fence realignment, new asphalt perimeter pursuit roadway, new weed barrier and gravel placement between perimeter security fences, new electronic security system and other electronic access systems, two new 60 ft tall pre-cast concrete guard towers, new visitors parking lot with curb and gutter including ADA compliant walkways, new vehicle and pedestrian gates, modifications to the existing and installation of a new storm water retention pond, high and low voltage electrical upgrades, and removal of various existing outdoor items

All contractor employees working on site must pass a BCI background check.

Individual contractors or alliances between two or more contractors are allowed in this process to form a team. However, one contractor or firm **MUST** be declared as the lead firm representing the team. If the team is short-listed through this multi-step process, the state will only enter into contracts with the lead contractor or firm. The lead contractor or firm must be licensed by the State of Utah and comply with and require all of its subcontractors to comply with the license laws as required by the State of Utah.

MULTI-STEP BIDDING PROCESS

SHORT-LISTING OF GENERAL CONTRACTORS

The short-listing of contractors will be based on the selection criteria outlined in this document.

1. Multi-Step Bidding Documents

The Multi-Step bidding documents consist of all of the information contained in this solicitation and all documents listed in the Table of Contents. All said documents are incorporated in this document by reference.

2. Availability of Documents

Bidding documents are available free of charge at the locations stated on the Schedule. The bidding documents are also available at DFCM's internet web site at <http://dfcm.utah.gov>.

3. Drawings and Specifications and Interpretations

Drawings, specifications and other contract documents may be obtained as stated in the Notice to Contractors. If any firm is in doubt as to the meaning or interpretation of any part of the drawings, specifications, scope of work or contract documents, they shall submit, in writing, a request for interpretation to the authorized DFCM representative by the deadline identified in the schedule. Answers to questions and interpretations will be made via addenda issued by DFCM. Neither DFCM nor the designer shall be responsible for incorrect information obtained by contractors from sources other than the official drawings/specifications and addenda issued by DFCM.

4. Contact Information

Except as authorized by the DFCM Representative or as otherwise stated in the bidding documents or the pre-submittal meeting, communication during the multi-step bidding process shall be directed to the specified DFCM's Representative. In order to maintain the fair and equitable treatment of everyone, contractors shall not unduly contact or offer gifts or gratuities to owners, users or selection committee members in an effort to influence the selection process or in a manner that gives the appearance of influencing the selection process. This prohibition applies before the bidding documents are issued as the project is developed, and extends through the award of a contract. Failure to comply with this requirement may result in a disqualification from the multi-step bidding process. Contractors should be aware that selection committee members will be required to certify that they have not been contacted by any of the contractors in an attempt to influence the selection process.

5. Requests for Information

All requests for information shall be in writing and directed to:

Project Manager: Brian Bales
Division of Facilities Construction and Management
4110 State Office Building
Salt Lake City, Utah 84114
E-mail: brbales@utah.gov
Phone: (801) 230-3129
Facsimile: (801) 538-3267

6. **Schedule**

The Schedule lists the important events, dates, times and locations of meetings and submittals that must be met by the contractor.

7. **Pre-Submittal Meeting**

A **mandatory** pre-submittal meeting will be held on the date and time and at the location listed on the Schedule. **The doors will be closed promptly at the time specified. Firms arriving late will not be allowed to participate.** During the meeting, questions will be answered about the multi-step bidding process. Questions about the project, plans and specifications will also be addressed. Attendance at this meeting is mandatory for General Contractors.

8. **Submittal Due Dates and Times**

All required submittals must be delivered to, and received by, the Division of Facilities Construction and Management by the time deadline established in the Schedule. Submittals received after the specified time deadline will not be accepted. Please allow adequate time for delivery. If using a courier service, the contractor is responsible for ensuring that delivery will be made directly to the required location prior to the deadline.

9. **Last Day to Submit Questions**

Questions must be submitted in writing to the DFCM project manager by the deadline listed on the Schedule.

10. **Addendum**

All clarifications will be in writing and issued as addenda to the RFS. Addenda will be posted on DFCM's web site at <http://dfcm.utah.gov>. **Contractors are responsible for obtaining information contained in the addenda from the web site. Any addenda issued prior to the submittal deadline shall become part of the multi-step bidding process and any information required must be included in the contractor's submittal.** Addenda issued prior to the submittal deadline shall become part of the bidding process and must be acknowledged on the bid form. Failure to acknowledge addenda may result in disqualification from bidding. DFCM shall not be responsible for incorrect information obtained by contractors from sources other than official addenda issued by DFCM.

11. **Bid Bond Requirements**

Short-listed contractors will be required to submit a bid bond in the amount of five percent (5%) of the bid amount made payable to the Division of Facilities Construction and Management on all bids. **The bid bond must be on the "Bid Bond Form" provided in this RFS (procurement documents) in order to be considered an acceptable bid.** If the bid bond security is submitted on a form other than DFCM's required "Bid Bond Form" and the bid security meets all other legal requirements, the contractor will be allowed to provide an acceptable bid bond by the close of business on the next business day following notification by DFCM of submission of a defective bid bond security.

12. Performance and References

DFCM will rate each firm's performance on every project worked on (rating scale: 1 = low; 5 = high). The rating may include comments from agencies. The firm will have an opportunity to review and comment on their ratings. Ratings on DFCM projects over the previous five years will be provided to the selection committee for their consideration in evaluating and scoring the past performance of each firm. If a firm has not completed at least three DFCM projects in the last five years, they shall provide by the time indicated on the Schedule, a list of references on additional projects for a total of five projects. References should include: (a) name and address of the project; (b) name and phone number of the person able to answer questions about the project; (c) date of when the work was completed; (d) the cost of the project and the type of project (school, office, warehouse, etc).

13. Statement of Qualifications

The Contractor (firm) shall provide five copies of a statement of qualifications by the time indicated on the Schedule. The statement should describe: (a) the financial viability of your firm; (b) the experience, skill level and qualifications of your firm - identify the specific project manager and site superintendent that will be assigned to this project; (c) provide examples of similar projects completed by your firm and the specific project manager and site superintendent that will be assigned to this project; (d) describe your firm's areas of expertise and other special qualifications as they pertain to this project; (e) document your firm's track record of completing projects on time and within budget; (f) explain your firm's reputation and commitment to high-quality workmanship; and (g) document your firm's ability to comply with the bonding requirements outlined earlier in this document. The statement of qualifications should be concise (**limit three pages**) yet contain sufficient information for evaluation by the selection committee. Note: If multiple firms combine to form a team, only the lead contractor or firm will be allowed to bid on projects. In addition, if any member of the team (contractor or firm) withdraws from the team, the entire team is disqualified and will not be allowed to bid.

14. Termination or Debarment Certification

Each firm must submit a certification that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from soliciting work by any governmental department or agency. The firm must also certify that neither the firm nor its principals have been terminated during the performance of a contract or withdrew from a contract to avoid termination. If the firm cannot certify to these statements, the firm shall submit a written explanation. Firms are to submit the certifications with their Statement of Qualifications.

15. Project Management Plan

Each Contractor (firm) shall provide five copies of a document describing their management plan by the time indicated on the Schedule. The document should include: (a) the process used for selecting and managing subcontractors; (b) a description of how the your firm is organized - pertaining to this project, document who will be in charge with decision making authority; (c) a project schedule detailing your firm's plan to ensure that the project will be completed on time (include timeline for ordering long lead materials and equipment); (d) a description of the process (action plan) your firm will take to bring the project back on schedule if it falls behind; (e) the procedures your firm has in place to minimize change orders; (f) the methodology used to ensure the accuracy of your bid; (g) your firm's approach to site security and project safety; (h) your firm's understanding of DFCM's construction general conditions and contract requirements; and (i) any other information that will assist the selection committee in evaluating your firm's approach to project management.

Include an organization chart of key personnel and a description of their duties. The management plan document should be concise (**limit three pages**) yet contain sufficient information for evaluation by the selection committee. The organization chart is a separate document and is not counted as one of the two pages.

16. Selection Committee

The selection committee will evaluate and score each firm/team. Committee members may include individuals from DFCM, User Agency/Institution, and a representative from the design or construction disciplines.

17. Interviews.

If interviews are required, firms will be notified of the date and time of their interview. Otherwise, the selection committee reserves the right to short-list firms/teams based on their submitted past performance ratings/references, statement of qualifications and project management plan.

If necessary, interviews will be conducted with all responsive and responsible contractors. Firms that are late or do not appear for the interview may be disqualified by the committee. The evaluation will be made using the selection criteria contained in this document. Information provided by the past performance/references, statement of qualifications, project management plan and the interview will be evaluated using the selection criteria as the basis for the selection. The purpose of the interview is to allow contractors an opportunity to present their qualifications, discuss past performance/references and describe their project management plan. It will also provide an opportunity for the selection committee to ask questions about these items. Firms may elect to have management personnel, project managers and superintendents in attendance. Attendance of subcontractors is at the discretion of the contractor. The method of presentation is at the discretion of the contractor.

18. Selection Criteria

The following criteria and weighting will be used in evaluating each firm/team. The selection committee will consider all criteria in performing a comprehensive evaluation of each firm/team. Each firm/team will be scored by each selection committee member in the categories listed below.

- A. Performance Rating/References.** The committee will receive a past performance rating and/or reference score for each firm/team. DFCM will compute the score for each firm/team based upon the information outlined earlier in this document. **Possible Points: 35**
- B. Statement of Qualifications.** The committee will evaluate and score each firm's/team's qualifications in accordance with the information outlined earlier in this document as well as additional information about the firm's/team's qualifications presented during the interview. **Possible Points: 35**
- C. Project Management Plan.** The committee will evaluate and score each firm's/team's project management approach in accordance with the information outlined earlier in this document as well as additional information about the firm's/team's project management approach presented during the interview. **Possible Points: 30**

TOTAL POINTS = 100 POINTS

19. Short-Listing

DFCM will short-list up to six firms receiving the highest score above the minimum score of 85 points from the selection committee. No firms receiving fewer than 85 points will be short-listed. Only short-listed firms will be invited to bid on this project. During the bidding process, the final contractor selection will be based on the lowest responsive and responsible bidder.

20. Product Approvals

Where reference is made to one or more proprietary products in the contract documents, but restrictive descriptive materials of one or more manufacturer(s) is referred to in the contract documents, the products of other manufacturers will be accepted, provided they equal or exceed the standards set forth in the drawings and specifications and are compatible with the intent and purpose of the design, subject to the written approval of the Designer. Such written approval must occur prior to the deadline established for the last scheduled addendum to be issued. The Designer's written approval will be included as part of the addendum issued by DFCM. If the descriptive material is not restrictive, the products of other manufacturers specified will be accepted without prior approval provided they are compatible with the intent and purpose of the design as determined by the Designer.

21. Trade Secrets or Confidential Matters

Any submitter may designate those portions of the submittals which contain trade secrets or other confidential matters that the Governmental Records and Access Management Act (GRAMA) would allow to be a protected record. Any disclosure of submittals or portions thereof shall be in accordance with GRAMA and State law.

22. Financial Responsibility of Contractors, Subcontractors and Sub-subcontractors

Contractors shall respond promptly to any inquiry in writing by DFCM to any concern of financial responsibility of the Contractor, Subcontractor or Sub-subcontractor. Failure to respond may result in the Contractor (firm) receiving a poor performance rating on this project.

23. Licensure

The Contractor shall comply with and require all of its Subcontractors to comply with the license laws as required by the State of Utah.

24. Permits

In concurrence with the requirements for permitting in the General Conditions, it is the responsibility of the Contractor to obtain the fugitive dust plan requirements from the Utah Division of Air Quality and the SWPPP requirements from the Utah Department of Environmental Quality and submit the completed forms and pay any permit fee that may be required for this specific project. Failure to obtain the required permit may result in work stoppage and/or fines from the regulating authority that will be the sole responsibility of the Contractor. Any delay to the project as a result of any such failure to obtain the permit or noncompliance with the permit shall not be eligible for any extension in the Contract Time.

25. Time is of the Essence

Time is of the essence in regard to all the requirements of the contract documents.

26. Bids

Before submitting a bid, each bidder shall carefully examine the contract documents; shall visit the site of the work; shall fully inform themselves as to all existing conditions and limitations; and shall include in the bid the cost of all items required by the contract documents including those added via addenda. If the bidder observes that portions of the contract documents are at variance with applicable laws, building codes, rules, regulations or contain obvious erroneous or uncoordinated information, the bidder shall promptly notify the DFCM Project Manager. Changes necessary to correct these issues will be made via addenda issued by DFCM.

The bid, bearing original signatures, must be typed or handwritten in ink on the Bid Form provided in the procurement documents and submitted in a sealed envelope at the location specified by the Notice to Contractor's prior to the published deadline for the submission of bids.

Bid bond security, in the amount of five percent (5%) of the bid, made payable to the Division of Facilities Construction and Management, shall accompany bid. **THE BID BOND MUST BE ON THE BID BOND FORM PROVIDED IN THE PROCUREMENT DOCUMENTS IN ORDER TO BE CONSIDERED AN ACCEPTABLE BID.**

If the bid bond security is submitted on a form other than the Owner's required bid bond form, and the bid security meets all other legal requirements, the bidder will be allowed to provide an acceptable bid bond by the close of business on the next business day following notification by DFCM of submission of a defective bid bond security. **A cashier's check cannot be used as a substitute for a bid bond.**

27. Listing of Subcontractors

Listing of Subcontractors shall be as summarized in the "Instructions and Subcontractors List Form", included as part of the contract documents. The Subcontractors List shall be delivered to DFCM or faxed to DFCM at (801)538-3677 within 24 hours of the bid opening. Requirements for listing additional subcontractors will be listed in the contract documents.

DFCM retains the right to audit or take other steps necessary to confirm compliance with requirements for the listing and changing of subcontractors. Any contractor who is found to not be in compliance with these requirements may receive a poor performance rating on this project.

28. Contract and Bond

The Contractor's Agreement will be in the form found in the specifications. The contract time will be as indicated in the bid. The successful bidder, simultaneously with the execution of the Contract Agreement, will be required to furnish a performance bond and a payment bond, both bearing original signatures, upon the forms provided in the procurement documents. The performance and payment bonds shall be for an amount equal to one hundred percent (100%) of the Contract Sum and secured from a company that meets the requirements specified in the requisite forms. Any bonding requirements for Subcontractors will be specified in the Supplementary General Conditions.

29. Award of Contract

The Contract will be awarded as soon as possible to the lowest, responsive and responsible bidder, based on the lowest combination of base bid and acceptable prioritized alternates, provided the bid is reasonable, is in the interests of DFCM to accept and after applying the Utah Preference Laws in U.C.A. Title 63, Chapter 56. DFCM reserves the right to waive any technicalities or formalities in any bid or in the bidding. Alternates will be accepted on a prioritized basis with Alternate 1 being highest priority, Alternate 2 having second priority, etc.

30. Right to Reject Bids

DFCM reserves the right to reject any or all Bids.

31. Withdrawal of Bids

Bids may be withdrawn on written request received from bidders within 24 hours after the bid opening if the contractor has made an error in preparing the bid.



Division of Facilities Construction and Management

DFCM

MULTI-STEP PROJECT SCHEDULE

PROJECT NAME: SECURITY IMPROVEMENTS - LONE PEAK FACILITY – DRAPER PRISON DEPARTMENT OF CORRECTIONS DRAPER, UTAH DFCM PROJECT NO. : 07354100				
Event	Day	Date	Time	Place
Documents Available (excluding Plans and Specifications)	Wednesday	January 7, 2009	4:00 PM	DFCM 4110 State Office Building SLC, UT and DFCM web site*
Mandatory Pre-Submittal Meeting (Plans and Specifications will be distributed in CD format only)	Monday	January 12, 2009	1:00 PM	1 st Floor Conference Room Administration Building Department of Corrections 14717 South Minuteman Dr Draper, UT
Last Day to Submit Questions on short listing (In Writing)	Tuesday	January 13, 2009	2:00 PM	Brian Bales - DFCM E-mail brbales@utah.gov Fax (801)-538-3267
Addendum on short listing	Wednesday	January 14, 2009	2:00 PM	DFCM web site*
List of References, Statement of Qualifications, Project Management Plan, and Termination/Debarment Certification Due	Thursday	January 15, 2009	12:00 NOON	DFCM 4110 State Office Building SLC, UT
Interviews by Selection Committee (if necessary)	Wednesday	January 21, 2009	TBA	TBA
Short List Announced	Thursday	January 22, 2009	3:00 PM	DFCM web site*
Notice: Only Short-Listed Firms Will Be Allowed To Bid On This Project				
Mandatory Pre-Bid Meeting	Wednesday	January 28, 2009	2:00 PM	1 st Floor Conference Room Administration Building Department of Corrections 14717 South Minuteman Dr Draper, UT
Last Day to Submit Questions (In Writing)	Thursday	February 5, 2009	2:00 PM	Brian Bales - DFCM E-mail brbales@utah.gov Fax (801)-538-3267
Final Addendum (exception for bid delays)	Wednesday	February 11, 2009	5:00 PM	DFCM web site*
Prime Contractors Turn in Bid and Bid Bond/Bid Opening in DFCM Conference Room	Tuesday	February 17, 2009	3:00 PM	DFCM 4110 State Office Building SLC, UT
Subcontractors List Due	Wednesday	February 18, 2009	3:00 PM	DFCM 4110 State Office Building SLC, UT Fax (801)-538-3677
Project Completion Date	Thursday	September 3, 2009		

* DFCM's web site address is <http://dfcm.utah.gov>

**BID FORM**

NAME OF BIDDER _____ DATE _____

To the Division of Facilities Construction and Management
4110 State Office Building
Salt Lake City, Utah 84114

The undersigned, responsive to the "Notice to Contractors" and in accordance with the "Instructions to Bidders", in compliance with your invitation for bids for the **SECURITY IMPROVEMENTS – LONE PEAK FACILITY – DRAPER PRISON – DEPARTMENT OF CORRECTIONS – DRAPER, UTAH – DFCM PROJECT NO. 07354100** and having examined the Contract Documents and the site of the proposed Work and being familiar with all of the conditions surrounding the construction of the proposed Project, including the availability of labor, hereby proposes to furnish all labor, materials and supplies as required for the Work in accordance with the Contract Documents as specified and within the time set forth and at the price stated below. This price is to cover all expenses incurred in performing the Work required under the Contract Documents of which this bid is a part:

I/We acknowledge receipt of the following Addenda: _____

For all work shown on the Drawings and described in the Specifications and Contract Documents, I/we agree to perform for the sum of:

_____ DOLLARS (\$_____) (In case of discrepancy, written amount shall govern)

I/We guarantee that the Work will be Substantially Complete by **September 3, 2009**, should I/we be the successful bidder, and agree to pay liquidated damages in the amount of **\$700.00** per day for each day after expiration of the Contract Time as stated in Article 3 of the Contractor's Agreement.

This bid shall be good for 45 days after bid opening.

Enclosed is a 5% bid bond, as required, in the sum of _____

The undersigned Contractor's License Number for Utah is _____.

Upon receipt of notice of award of this bid, the undersigned agrees to execute the contract within ten (10) days, unless a shorter time is specified in the Contract Documents, and deliver acceptable Performance and Payment bonds in the prescribed form in the amount of 100% of the Contract Sum for faithful performance of the contract.

The Bid Bond attached, in the amount not less than five percent (5%) of the above bid sum, shall become the property of the Division of Facilities Construction and Management as liquidated damages for delay and additional expense caused thereby in the event that the contract is not executed and/or acceptable 100% Performance and Payment bonds are not delivered within the time set forth.

Type of Organization:

(Corporation, Partnership, Individual, etc.)

Any request and information related to Utah Preference Laws:

Respectfully submitted,

Name of Bidder

ADDRESS:

Authorized Signature

BID BOND

(Title 63, Chapter 56, U. C. A. 1953, as Amended)

KNOW ALL PERSONS BY THESE PRESENTS:

That _____ hereinafter referred to as the "Principal," and _____, a corporation organized and existing under the laws of the State of _____, with its principal office in the City of _____ and authorized to transact business in this State and U. S. Department of the Treasury Listed, (Circular 570, Companies Holding Certificates of Authority as Acceptable Securities on Federal Bonds and as Acceptable Reinsuring Companies); hereinafter referred to as the "Surety," are held and firmly bound unto the STATE OF UTAH, hereinafter referred to as the "Obligee," in the amount of \$_____ (5% of the accompanying bid), being the sum of this Bond to which payment the Principal and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION IS SUCH that whereas the Principal has submitted to Obligee the accompanying bid incorporated by reference herein, dated as shown, to enter into a contract in writing for the _____ Project.

NOW, THEREFORE, THE CONDITION OF THE ABOVE OBLIGATION IS SUCH, that if the said principal does not execute a contract and give bond to be approved by the Obligee for the faithful performance thereof within ten (10) days after being notified in writing of such contract to the principal, then the sum of the amount stated above will be forfeited to the State of Utah as liquidated damages and not as a penalty; if the said principal shall execute a contract and give bond to be approved by the Obligee for the faithful performance thereof within ten (10) days after being notified in writing of such contract to the Principal, then this obligation shall be null and void. It is expressly understood and agreed that the liability of the Surety for any and all defaults of the Principal hereunder shall be the full penal sum of this Bond. The Surety, for value received, hereby stipulates and agrees that obligations of the Surety under this Bond shall be for a term of sixty (60) days from actual date of the bid opening.

PROVIDED, HOWEVER, that this Bond is executed pursuant to provisions of Title 63, Chapter 56, Utah Code Annotated, 1953, as amended, and all liabilities on this Bond shall be determined in accordance with said provisions to same extent as if it were copied at length herein.

IN WITNESS WHEREOF, the above bounden parties have executed this instrument under their several seals on the date indicated below, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

DATED this _____ day of _____, 20____.

Principal's name and address (if other than a corporation):

By: _____

Title: _____

Principal's name and address (if a corporation):

By _____

Title: _____
(Affix Corporate Seal)

Surety's name and address:

By: _____
Attorney-in-Fact (Affix Corporate Seal)

STATE OF _____)
COUNTY OF _____) ss.

On this ____ day of _____, 20____, personally appeared before me _____, whose identity is personally known to me or proved to me on the basis of satisfactory evidence, and who, being by me duly sworn, did say that he/she is the Attorney-in-fact of the above-named Surety Company, and that he/she is duly authorized to execute the same and has complied in all respects with the laws of Utah in reference to becoming sole surety upon bonds, undertakings and obligations, and that he/she acknowledged to me that as Attorney-in-fact executed the same.

Subscribed and sworn to before me this _____ day of _____, 20____.

My Commission Expires: _____

Resides at: _____

NOTARY PUBLIC

Agency: _____
Agent: _____
Address: _____
Phone: _____

Approved As To Form: May 25, 2005
By Alan S. Bachman, Asst Attorney General



INSTRUCTIONS AND SUBCONTRACTORS LIST FORM

The three low bidders, as well as all other bidders that desire to be considered, are required by law to submit to DFCM within 24 hours of bid opening a list of **ALL** first-tier subcontractors, including the subcontractor's name, bid amount and other information required by Building Board Rule and as stated in these Contract Documents, based on the following:

DOLLAR AMOUNTS FOR LISTING

PROJECTS UNDER \$500,000: ALL FIRST-TIER SUBS \$20,000 OR OVER MUST BE LISTED
PROJECTS \$500,000 OR MORE: ALL FIRST-TIER SUBS \$35,000 OR OVER MUST BE LISTED

- Any additional subcontractors identified in the bid documents shall also be listed.
- The DFCM Director may not consider any bid submitted by a bidder if the bidder fails to submit a subcontractor list meeting the requirements of State law.
- List subcontractors for base bid as well as the impact on the list that the selection of any alternate may have.
- Bidder may not list more than one subcontractor to perform the same work.
- If there are no subcontractors for the job that are required to be reported by State law (either because there are no subcontractors that will be used on the project or because there are no first-tier subcontractors over the dollar amounts referred to above), then you do not need to submit a sublist. If you do not submit a sublist, it will be deemed to be a representation by you that there are no subcontractors on the job that are required to be reported under State law. At any time, DFCM reserves the right to inquire, for security purposes, as to the identification of the subcontractors at any tier that will be on the worksite.

LICENSURE:

The subcontractor's name, the type of work, the subcontractor's bid amount, and the subcontractor's license number as issued by DOPL, if such license is required under Utah Law, shall be listed. Bidder shall certify that all subcontractors, required to be licensed, are licensed as required by State law. A subcontractor includes a trade contractor or specialty contractor and does not include suppliers who provide only materials, equipment, or supplies to a contractor or subcontractor.

'SPECIAL EXCEPTION':

A bidder may list 'Special Exception' in place of a subcontractor when the bidder intends to obtain a subcontractor to perform the work at a later date because the bidder was unable to obtain a qualified or reasonable bid under the provisions of U.C.A. Section 63A-5-208(4). The bidder shall insert the term 'Special Exception' for that category of work, and shall provide documentation with the subcontractor list describing the bidder's efforts to obtain a bid of a qualified subcontractor at a reasonable cost and why the bidder was unable to obtain a qualified subcontractor bid. The Director must find that the bidder complied in good faith with State law requirements for any 'Special Exception' designation, in order for the bid to be considered. If awarded the contract, the Director shall supervise the bidder's efforts to obtain a qualified subcontractor bid. The amount of the awarded contract may not be adjusted to reflect the actual amount of the subcontractor's bid. Any listing of 'Special Exception' on the sublist form shall also include amount allocated for that work.

GROUND FOR DISQUALIFICATION:

The Director may not consider any bid submitted by a bidder if the bidder fails to submit a subcontractor list meeting the requirements of State law. Director may withhold awarding the contract to a particular bidder if one or more of the proposed subcontractors are considered by the Director to be unqualified to do the Work or for

INSTRUCTIONS AND SUBCONTRACTORS LIST FORM
PAGE NO. 2

such other reason in the best interest of the State of Utah. Notwithstanding any other provision in these instructions, if there is a good faith error on the sublist form, at the sole discretion of the Director, the Director may provide notice to the contractor and the contractor shall have 24 hours to submit the correction to the Director. If such correction is submitted timely, then the sublist requirements shall be considered met.

CHANGES OF SUBCONTRACTORS SPECIFICALLY IDENTIFIED ON SUBLIST FORM:

Subsequent to twenty-four hours after the bid opening, the contractor may change its listed subcontractors only after receiving written permission from the Director based on complying with all of the following criteria.

- (1) The contractor has established in writing that the change is in the best interest of the State and that the contractor establishes an appropriate reason for the change, which may include, but not is not limited to, the following reasons: the original subcontractor has failed to perform, or is not qualified or capable of performing, and/or the subcontractor has requested in writing to be released.
- (2) The circumstances related to the request for the change do not indicate any bad faith in the original listing of the subcontractors.
- (3) Any requirement set forth by the Director to ensure that the process used to select a new subcontractor does not give rise to bid shopping.
- (4) Any increase in the cost of the subject subcontractor work is borne by the contractor.
- (5) Any decrease in the cost of the subject subcontractor work shall result in a deductive change order being issued for the contract for such decreased amount.
- (6) The Director will give substantial weight to whether the subcontractor has consented in writing to being removed unless the Contractor establishes that the subcontractor is not qualified for the work.

EXAMPLE:

Example of a list where there are only four subcontractors:

TYPE OF WORK	SUBCONTRACTOR, "SELF" OR "SPECIAL EXCEPTION"	SUBCONTRACTOR BID AMOUNT	CONTRACTOR LICENSE #
ELECTRICAL	ABCD Electric Inc.	\$350,000.00	123456789000
LANDSCAPING	"Self" *	\$300,000.00	123456789000
CONCRETE (ALTERNATE #1)	XYZ Concrete Inc	\$298,000.00	987654321000
MECHANICAL	"Special Exception" (attach documentation)	Fixed at: \$350,000.00	(TO BE PROVIDED AFTER OBTAINING SUBCONTRACTOR)

* Bidders may list "self", but it is not required.

**PURSUANT TO STATE LAW - SUBCONTRACTOR BID AMOUNTS CONTAINED IN THIS
SUBCONTRACTOR LIST SHALL NOT BE DISCLOSED UNTIL THE CONTRACT HAS BEEN AWARDED.**

**SUBCONTRACTORS LIST**
FAX TO 801-538-3677**PROJECT TITLE:** _____**Caution:** You must read and comply fully with instructions.

TYPE OF WORK	SUBCONTRACTOR, "SELF" OR "SPECIAL EXCEPTION"	SUBCONTRACTOR BID AMOUNT	CONT. LICENSE #

We certify that:

1. This list includes all subcontractors as required by the instructions, including those related to the base bid as well as any alternates.
2. We have listed "Self" or "Special Exception" in accordance with the instructions.
3. All subcontractors are appropriately licensed as required by State law.

FIRM: _____

DATE: _____

SIGNED BY: _____

NOTICE: FAILURE TO SUBMIT THIS FORM, PROPERLY COMPLETED AND SIGNED, AS REQUIRED IN THESE CONTRACT DOCUMENTS, SHALL BE GROUNDS FOR OWNER'S REFUSAL TO ENTER INTO A WRITTEN CONTRACT WITH BIDDER. ACTION MAY BE TAKEN AGAINST BIDDERS BID BOND AS DEEMED APPROPRIATE BY OWNER. ATTACH A SECOND PAGE IF NECESSARY.

CONTRACTOR'S AGREEMENT

FOR:

THIS CONTRACTOR'S AGREEMENT, made and entered into this ____ day of _____, 2006, by and between the DIVISION OF FACILITIES CONSTRUCTION AND MANAGEMENT, hereinafter referred to as "DFCM", and _____, incorporated in the State of Utah and authorized to do business in the State of Utah, hereinafter referred to as "Contractor", whose address is _____ Utah _____.

WITNESSETH: WHEREAS, DFCM intends to have _____ Work performed at _____

WHEREAS, Contractor agrees to perform the Work for the sum stated herein.

NOW, THEREFORE, DFCM and Contractor for the consideration provided in this Contractor's Agreement, agree as follows:

ARTICLE 1. SCOPE OF WORK. The Work to be performed shall be in accordance with the Contract Documents prepared by _____ and entitled "_____"

The DFCM General Conditions ("General Conditions") dated May 25, 2005 and Supplemental General Conditions dated July 15, 2008 (also referred to as "General Condition"), on file at the office of DFCM and available on the DFCM website, are hereby incorporated by reference as part of this Agreement and are included in the specifications for this Project. All terms used in this Contractor's Agreement shall be as defined in the Contract Documents, and in particular, the General Conditions.

The Contractor Agrees to furnish labor, materials and equipment to complete the Work as required in the Contract Documents which are hereby incorporated by reference. It is understood and agreed by the parties hereto that all Work shall be performed as required in the Contract Documents and shall be subject to inspection and approval of DFCM or its authorized representative. The relationship of the Contractor to the DFCM hereunder is that of an independent Contractor.

ARTICLE 2. CONTRACT SUM. The DFCM agrees to pay and the Contractor agrees to accept in full performance of this Contractor's Agreement, the sum of _____ **DOLLARS AND NO CENTS (\$_____)**, which is the base bid, and includes the cost of a 100%

CONTRACTOR'S AGREEMENT
PAGE NO. 2

Performance Bond and a 100% Payment Bond as well as all insurance requirements of the Contractor. Said bonds have already been posted by the Contractor pursuant to State law. The required proof of insurance certificates have been delivered to DFCM in accordance with the General Conditions before the execution of this Contractor's Agreement.

ARTICLE 3. TIME OF COMPLETION AND DELAY REMEDY. The Work shall be Substantially Complete by _____ after the date of the Notice to Proceed. Contractor agrees to pay liquidated damages in the amount of _____ per day for each day after expiration of the Contract Time until the Contractor achieves Substantial Completion in accordance with the Contract Documents, if Contractor's delay makes the damages applicable. The provision for liquidated damages is: (a) to compensate the DFCM for delay only; (b) is provided for herein because actual damages can not be readily ascertained at the time of execution of this Contractor's Agreement; (c) is not a penalty; and (d) shall not prevent the DFCM from maintaining Claims for other non-delay damages, such as costs to complete or remedy defective Work.

No action shall be maintained by the Contractor, including its or Subcontractor or suppliers at any tier, against the DFCM or State of Utah for damages or other claims due to losses attributable to hindrances or delays from any cause whatsoever, including acts and omissions of the DFCM or its officers, employees or agents, except as expressly provided in the General Conditions. The Contractor may receive a written extension of time, signed by the DFCM, in which to complete the Work under this Contractor's Agreement in accordance with the General Conditions.

ARTICLE 4. CONTRACT DOCUMENTS. The Contract Documents consist of this Contractor's Agreement, the Conditions of the Contract (DFCM General Conditions, Supplementary and other Conditions), the Drawings, Specifications, Addenda and Modifications. The Contract Documents shall also include the bidding documents, including the Notice to Contractors, Instructions to Bidders/Proposers and the Bid/Proposal, to the extent not in conflict therewith and other documents and oral presentations that are documented as an attachment to the contract.

All such documents are hereby incorporated by reference herein. Any reference in this Contractor's Agreement to certain provisions of the Contract Documents shall in no way be construed as to lessen the importance or applicability of any other provisions of the Contract Documents.

ARTICLE 5. PAYMENT. The DFCM agrees to pay the Contractor from time to time as the Work progresses, but not more than once each month after the date of Notice to Proceed, and only upon Certificate of the A/E for Work performed during the preceding calendar month, ninety-five percent (95%) of the value of the labor performed and ninety-five percent (95%) of the value of materials furnished in place or on the site. The Contractor agrees to furnish to the DFCM invoices for materials purchased and on the site but not installed, for which the

CONTRACTOR'S AGREEMENT
PAGE NO. 3

Contractor requests payment and agrees to safeguard and protect such equipment or materials and is responsible for safekeeping thereof and if such be stolen, lost or destroyed, to replace same.

Such evidence of labor performed and materials furnished as the DFCM may reasonably require shall be supplied by the Contractor at the time of request for Certificate of Payment on account. Materials for which payment has been made cannot be removed from the job site without DFCM's written approval. Five percent (5%) of the earned amount shall be retained from each monthly payment. The retainage, including any additional retainage imposed and the release of any retainage, shall be in accordance with UCA 13-8-5 as amended. Contractor shall also comply with the requirements of UCA 13-8-5, including restrictions of retainage regarding subcontractors and the distribution of interest earned on the retention proceeds. The DFCM shall not be responsible for enforcing the Contractor's obligations under State law in fulfilling the retention law requirements with subcontractors at any tier.

ARTICLE 6. INDEBTEDNESS. Before final payment is made, the Contractor must submit evidence satisfactory to the DFCM that all payrolls, materials bills, subcontracts at any tier and outstanding indebtedness in connection with the Work have been properly paid. Final Payment will be made after receipt of said evidence, final acceptance of the Work by the DFCM as well as compliance with the applicable provisions of the General Conditions.

Contractor shall respond immediately to any inquiry in writing by DFCM as to any concern of financial responsibility and DFCM reserves the right to request any waivers, releases or bonds from Contractor in regard to any rights of Subcontractors (including suppliers) at any tier or any third parties prior to any payment by DFCM to Contractor.

ARTICLE 7. ADDITIONAL WORK. It is understood and agreed by the parties hereto that no money will be paid to the Contractor for additional labor or materials furnished unless a new contract in writing or a Modification hereof in accordance with the General Conditions and the Contract Documents for such additional labor or materials has been executed. The DFCM specifically reserves the right to modify or amend this Contractor's Agreement and the total sum due hereunder either by enlarging or restricting the scope of the Work.

ARTICLE 8. INSPECTIONS. The Work shall be inspected for acceptance in accordance with the General Conditions.

ARTICLE 9. DISPUTES. Any dispute, PRE or Claim between the parties shall be subject to the provisions of Article 7 of the General Conditions. DFCM reserves all rights to pursue its rights and remedies as provided in the General Conditions.

ARTICLE 10. TERMINATION, SUSPENSION OR ABANDONMENT. This Contractor's Agreement may be terminated, suspended or abandoned in accordance with the General Conditions.

ARTICLE 11. DFCM'S RIGHT TO WITHHOLD CERTAIN AMOUNT AND MAKE USE THEREOF. The DFCM may withhold from payment to the Contractor such amount as, in DFCM's judgment, may be necessary to pay just claims against the Contractor or Subcontractor at any tier for labor and services rendered and materials furnished in and about the Work. The DFCM may apply such withheld amounts for the payment of such claims in DFCM's discretion. In so doing, the DFCM shall be deemed the agent of Contractor and payment so made by the DFCM shall be considered as payment made under this Contractor's Agreement by the DFCM to the Contractor. DFCM shall not be liable to the Contractor for any such payment made in good faith. Such withholdings and payments may be made without prior approval of the Contractor and may be also be prior to any determination as a result of any dispute, PRE, Claim or litigation.

ARTICLE 12. INDEMNIFICATION. The Contractor shall comply with the indemnification provisions of the General Conditions.

ARTICLE 13. SUCCESSORS AND ASSIGNMENT OF CONTRACT. The DFCM and Contractor, respectively bind themselves, their partners, successors, assigns and legal representatives to the other party to this Agreement, and to partners, successors, assigns and legal representatives of such other party with respect to all covenants, provisions, rights and responsibilities of this Contractor's Agreement. The Contractor shall not assign this Contractor's Agreement without the prior written consent of the DFCM, nor shall the Contractor assign any moneys due or to become due as well as any rights under this Contractor's Agreement, without prior written consent of the DFCM.

ARTICLE 14. RELATIONSHIP OF THE PARTIES. The Contractor accepts the relationship of trust and confidence established by this Contractor's Agreement and covenants with the DFCM to cooperate with the DFCM and A/E and use the Contractor's best skill, efforts and judgment in furthering the interest of the DFCM; to furnish efficient business administration and supervision; to make best efforts to furnish at all times an adequate supply of workers and materials; and to perform the Work in the best and most expeditious and economic manner consistent with the interests of the DFCM.

ARTICLE 15. AUTHORITY TO EXECUTE AND PERFORM AGREEMENT. Contractor and DFCM each represent that the execution of this Contractor's Agreement and the performance thereunder is within their respective duly authorized powers.

ARTICLE 16. ATTORNEY FEES AND COSTS. Except as otherwise provided in the dispute resolution provisions of the General Conditions, the prevailing party shall be entitled to reasonable attorney fees and costs incurred in any action in the District Court and/or appellate body to enforce this Contractor's Agreement or recover damages or any other action as a result of a breach thereof.

CONTRACTOR'S AGREEMENT
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IN WITNESS WHEREOF, the parties hereto have executed this Contractor's Agreement on the day and year stated hereinabove.

CONTRACTOR:

Signature Date

Title: _____

State of _____)
_____)
County of _____)

Please type/print name clearly

On this ____ day of _____, 20____, personally appeared before me, _____, whose identity is personally known to me (or proved to me on the basis of satisfactory evidence) and who by me duly sworn (or affirmed), did say that he (she) is the _____ (title or office) of the firm and that said document was signed by him (her) in behalf of said firm.

(SEAL)

Notary Public

My Commission Expires _____

APPROVED AS TO AVAILABILITY
OF FUNDS:

David D. Williams, Jr. Date
DFCM Administrative Services Director

**DIVISION OF FACILITIES
CONSTRUCTION AND MANAGEMENT**

Lynn A. Hinrichs Date
Assistant Director Construction Management

APPROVED AS TO FORM:
ATTORNEY GENERAL
July 15, 2008
By: Alan S. Bachman
Asst Attorney General

APPROVED FOR EXPENDITURE:

Division of Finance Date

PERFORMANCE BOND
(Title 63, Chapter 56, U. C. A. 1953, as Amended)

That _____ hereinafter referred to as the "Principal" and _____, a corporation organized and existing under the laws of the State of _____, with its principal office in the City of _____ and authorized to transact business in this State and U. S. Department of the Treasury Listed (Circular 570, Companies Holding Certificates of Authority as Acceptable Securities on Federal Bonds and as Acceptable Reinsuring Companies); hereinafter referred to as the "Surety," are held and firmly bound unto the State of Utah, hereinafter referred to as the "Obligee," in the amount of _____ DOLLARS (\$_____) for the payment whereof, the said Principal and Surety bind themselves and their heirs, administrators, executors, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has entered into a certain written Contract with the Obligee, dated the _____ day of _____, 20____, to construct _____ in the County of _____, State of Utah, Project No. _____, for the approximate sum of _____ Dollars (\$_____), which Contract is hereby incorporated by reference herein.

NOW, THEREFORE, the condition of this obligation is such that if the said Principal shall faithfully perform the Contract in accordance with the Contract Documents including, but not limited to, the Plans, Specifications and conditions thereof, the one year performance warranty, and the terms of the Contract as said Contract may be subject to Modifications or changes, then this obligation shall be void; otherwise it shall remain in full force and effect.

No right of action shall accrue on this bond to or for the use of any person or corporation other than the state named herein or the heirs, executors, administrators or successors of the Owner.

The parties agree that the dispute provisions provided in the Contract Documents apply and shall constitute the sole dispute procedures of the parties.

PROVIDED, HOWEVER, that this Bond is executed pursuant to the Provisions of Title 63, Chapter 56, Utah Code Annotated, 1953, as amended, and all liabilities on this Bond shall be determined in accordance with said provisions to the same extent as if it were copied at length herein.

IN WITNESS WHEREOF, the said Principal and Surety have signed and sealed this instrument this _____ day of _____, 20_____.

WITNESS OR ATTESTATION:

PRINCIPAL:

By: _____

(Seal)

Title: _____

WITNESS OR ATTESTATION:

SURETY:

By: _____

Attorney-in-Fact

(Seal)

STATE OF _____)
) ss.

COUNTY OF _____)

On this _____ day of _____, 20____, personally appeared before me _____, whose identity is personally known to me or proved to me on the basis of satisfactory evidence, and who, being by me duly sworn, did say that he/she is the Attorney in-fact of the above-named Surety Company and that he/she is duly authorized to execute the same and has complied in all respects with the laws of Utah in reference to becoming sole surety upon bonds, undertakings and obligations, and that he/she acknowledged to me that as Attorney-in-fact executed the same.

Subscribed and sworn to before me this _____ day of _____, 20_____.

My commission expires: _____

Resides at: _____

NOTARY PUBLIC

Agency: _____
Agent: _____
Address: _____
Phone: _____

Approved As To Form: May 25, 2005
By Alan S. Bachman, Asst Attorney General

PAYMENT BOND

(Title 63, Chapter 56, U. C. A. 1953, as Amended)

KNOW ALL PERSONS BY THESE PRESENTS:

That _____ hereinafter referred to as the "Principal," and _____, a corporation organized and existing under the laws of the State of _____ authorized to do business in this State and U. S. Department of the Treasury Listed (Circular 570, Companies Holding Certificates of Authority as Acceptable Securities on Federal Bonds and as Acceptable Reinsuring Companies); with its principal office in the City of _____, hereinafter referred to as the "Surety," are held and firmly bound unto the State of Utah hereinafter referred to as the "Obligee," in the amount of _____ Dollars (\$ _____) for the payment whereof, the said Principal and Surety bind themselves and their heirs, administrators, executors, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has entered into a certain written Contract with the Obligee, dated the _____ day of _____, 20____, to construct _____ in the County of _____, State of Utah, Project No. _____ for the approximate sum of _____ Dollars (\$ _____), which contract is hereby incorporated by reference herein.

NOW, THEREFORE, the condition of this obligation is such that if the said Principal shall pay all claimants supplying labor or materials to Principal or Principal's Subcontractors in compliance with the provisions of Title 63, Chapter 56, of Utah Code Annotated, 1953, as amended, and in the prosecution of the Work provided for in said Contract, then, this obligation shall be void; otherwise it shall remain in full force and effect.

That said Surety to this Bond, for value received, hereby stipulates and agrees that no changes, extensions of time, alterations or additions to the terms of the Contract or to the Work to be performed thereunder, or the specifications or drawings accompanying same shall in any way affect its obligation on this Bond, and does hereby waive notice of any such changes, extensions of time, alterations or additions to the terms of the Contract or to the Work or to the specifications or drawings and agrees that they shall become part of the Contract Documents.

PROVIDED, HOWEVER, that this Bond is executed pursuant to the provisions of Title 63, Chapter 56, Utah Code Annotated, 1953, as amended, and all liabilities on this Bond shall be determined in accordance with said provisions to the same extent as if it were copied at length herein.

IN WITNESS WHEREOF, the said Principal and Surety have signed and sealed this instrument this _____ day of _____, 20____.

WITNESS OR ATTESTATION:

(Seal)

WITNESS OR ATTESTATION:

STATE OF _____)
) ss.
COUNTY OF _____)

PRINCIPAL:

By: _____

Title: _____

SURETY:

By: _____
Attorney-in-Fact (Seal)

On this _____ day of _____, 20____, personally appeared before me _____, whose identity is personally known to me or proved to me on the basis of satisfactory evidence, and who, being by me duly sworn, did say that he/she is the Attorney-in-fact of the above-named Surety Company, and that he/she is duly authorized to execute the same and has complied in all respects with the laws of Utah in reference to becoming sole surety upon bonds, undertakings and obligations, and that he/she acknowledged to me that as Attorney-in-fact executed the same.

Subscribed and sworn to before me this _____ day of _____, 20____.
My commission expires: _____
Resides at: _____

NOTARY PUBLIC

Agency: _____
Agent: _____
Address: _____
Phone: _____

Approved As To Form: May 25, 2005
By Alan S. Bachman, Asst Attorney General

Utah Department of Corrections

(DRAPER SITE)

OUTSIDE CONTRACTOR RULES AND REGULATIONS

1. All contractors, sub-contractors, workers, architects, etc. must have picture identification on their person while working at the Prison. A Utah Driver's License or Driver's License Division I. D. is preferred, but we will accept pictured military I. D., etc.
2. All contractors, subcontractors, workers, architects, etc. must have reached at least 18 years of age before they will be allowed to work on Utah Department of Corrections property.
3. No unlocked vehicles may be left unattended.
4. No running vehicles may be left unattended.
5. No keys may be left in vehicles.
6. Park all vehicles and equipment away from fences - a minimum of 50 feet.
7. No blue chambray (light blue denim) or solid white work shirts may be worn.
8. No blue denim jackets may be worn.
9. Do not run - especially toward or away from any fence line.
10. Absolutely No "visiting" with inmates.
11. Nothing may be given to inmates. Giving contraband to inmates is a felony.
12. Nothing may be taken from inmates.
13. No tools may be left unattended. Unattended tools will be confiscated.
14. Do not throw away broken or worn out saw blades of any kind at the prison site. Dispose of them off property, at your home, shop, or office, or you may give them to the security officer.
15. Explosive cartridges for Hilti guns, etc. must be locked up and/or strictly supervised at all times. Cartridge "clips" shall be disposed of away from prison property. This also includes individual load shell casings. If you have a Hilti gun, etc. in your equipment, the gate security officer for your construction site must be notified.

16. No weapons, ammunition, explosives, drugs, alcoholic beverages, poisons, acids or other dangerous objects or hazardous substances are allowed on prison property. Required prescription "medicines" can be carried in limited daily dosages only. These items will be confiscated if found and appropriate action will be taken.
17. Anyone entering prison property is subject to search of his property, person, and vehicle. Failure to submit to this search will result in expulsion from prison property and/or arrest upon probable cause.
18. Any statutory or illegal contraband or other controlled items, as stipulated by this document, found on a person in a work area or in a vehicle will be confiscated. Vehicles may also be confiscated. Any item violating state law will result in an investigation and/or arrest by the prison Security personnel or local law enforcement agency. If any statutory or illegal contraband or other controlled items are returned to the prison a second time, access to prison property will be denied permanently.
19. Any person who the officer believes is arriving at the prison impaired by alcohol or drugs shall be denied access to prison property and may also be detained pending arrival of an Enforcement Officer who will determine if a citation or arrest is warranted.
20. When working inside the prison fence lines, all traffic is checked, searched, and cleared at our main truck gate sally ports. In order to help us expedite your traffic, all trips through the gates should be limited to those which are absolutely necessary. 'Car pooling' in company vehicles from the main prison parking areas into the construction site is required. When checking in through the prison gates, all workers in any and all vehicles must get out of the vehicle and stand next to it while it is searched and their identity is verified.
21. Private vehicles used primarily for transportation will not be allowed into construction sites. "Company" and/or primary "work" vehicles will be permitted.
22. Foot traffic into construction sites is encouraged when practical.
23. Driver's licenses or other picture I.D. of all workers will be taken at the gates to the construction sites for I.D. and control purposes. A temporary pass from that gate will be issued to be worn in plain sight while working on site. Upon departure from the work site, this temporary pass will be returned to the gate that issued the pass and personal drivers License or other Id will be returned upon exit.
24. In the event of a prison emergency, i.e., fire, escape, riot, etc., all construction sites will be secured and traffic to and from the sites halted. Work within the sites will be allowed to continue normally as long as there is no physical threat to the site(s). When the emergency has been verified and resolved, the site will be re-opened to traffic. If evacuation of a site is necessary, everyone will be expected to gather in

one central location identified by the security officer, and then will be escorted off property by security personnel. All emergency situations will be resolved as soon as possible.

25. Work hours for construction within the prison fences will normally be limited strictly to daylight hours, Monday through Friday. If early morning, late evening, weekend, or holiday work is planned or needed, the project security staff must be contacted at least 72 hours in advance of approval.
26. Ex-inmates or parolees are normally not permitted to work on prison projects.

NOTE: Specific limitations may be listed as part of your project specifications. Report any known or suspected ex-inmates, parolees, or convicted felons to Doug Wright, Facilities Bureau at the Utah State Department of Corrections 545-5550.

All contractors, subcontractors, employees, and other personnel working on prison projects are subject to having a criminal identification check process. Anyone with a verified record of criminal activity, deemed to pose a potential hazard to prison security, may be denied access to prison property.

27. Ladders may not be left unsecured in construction areas at night or on weekends, holidays, etc. when no work is going on.
 - A. Portable ladders must be removed from the work site and secured inside locked construction trailers or be secured outside of the fenced perimeter at the end of every workday.
 - B. Larger, heavier ladders and scaffolding may, with approval by internal security, be secured by chains and padlocks to immovable objects within the construction area, but safely away from all fences.

NOTE: Ladders which are not secured as per the above instructions will be confiscated.

28. Cutting torches and equipment shall not be left unattended in construction areas. All cutting torches, fuel tanks, etc. must be maintained on carts or vehicles and be removed from construction sites at the end of each work day.,
29. Contractors will not be permitted to store flammable liquids or fuel tanks within the security fence perimeter. Contractors will be assigned a specific approved storage area for any such items on request.
30. No vehicles or motorized construction equipment may be left inside the security fence perimeter when no construction work going on unless mechanically disabled and proper authorization is obtained in writing from UDC security.

31. Contractors are responsible to provide their own portable restrooms for construction sites. Contractors will not be allowed access to occupied prison facilities to utilize restrooms unless restrooms are located in the immediate work area.
32. Contractors will not be given access to the prison dining room for meals unless construction work is in the specific kitchen/dining room area and the Warden's and Support Services approval is granted in advance.
33. All contractors will be required to clean up all construction sites, debris and "extra" construction supplies from work areas on a daily basis. Construction debris must be hauled away immediately or placed in a designated disposal site at the prison. Extra construction supplies must be returned to the designated supply/construction yard or retained in construction vehicles until the next workday.
34. Contractors working at the Draper site on authorized bid projects are responsible to provide all of their own tools and equipment for the work involved in those projects. The prison will normally not permit contractor use of state-owned shops, tools, or equipment.
35. All contractors working at the Draper site are required to fully comply with all OSHA work safety requirements; take prudent precautions to protect the work site and adjacent facilities from damage; and to provide appropriate safety equipment, including fire extinguisher and other "fire protection devices" for their work areas.
36. All contractors working at the Draper site are required to take reasonable precautions to avoid causing damage to the existing facility and its utility lines, etc. in the course of completing their authorized project. Special attention shall be given to utility lines that may be buried, or imbedded in walls, under floors, etc. The Draper maintenance staff will provide the best available information on what lines are known or suspected in any given area. The contractors are responsible to use due care to eliminate and/or minimize damages. When and if damage occurs, the contractors are required to cooperate fully with prison maintenance or other emergency personnel to assist with and expedite any repairs required to restore normal prison services and operations. Negligence or carelessness on the part of any contractor that results in all or part of any damage will result in that contractor being held liable for all or part of the damages. In all cases, the extent of any such liability will be negotiated with the primary or general contractor responsible for the project. In accepting the award of any project at the Utah State Prison, Draper site, the contractor also agrees to negotiate any such damages in good faith with prison representatives.
37. All planned interruptions to utilities (Water, sewer, gas, electrical, steam etc.) Will require a written request to:

Michael Dahl, Facilities Coordinator
14717 So. Minuteman Dr.
Draper, Utah. 84020

This request can also be faxed to Mr. Dahl at (801) 545-5523

At least five working days prior to the scheduled outage or interruption. If an emergency occurs and the utility service needs to be interrupted to facilitate repairs or to prevent risk to life or property it is expected that all efforts be made to promptly respond and correct the problem, and notification to facility maintenance be done so emergency response can be in-acted to maintain order and proper operation of the institution.

If you have any questions regarding these regulations or need a special exemption, clarifications, etc., contact Michael Dahl (801) 545-5550 office or (801) 556-9872 cell or by email at dmdahl@utah.gov

NOTE: These rules are subject to review and change at any time.

CONT_RULES AND REGULATIONS.DOC

Utah Department of Corrections

CONTRACTOR'S CODE OF CONDUCT

As an independent contractor working with or around inmates at the Utah State Prison, I understand and agree to observe the following policies:

1. While on duty, I will visually carry my ID with me.
2. I will respect and protect the civil and legal rights of all offenders.
3. I will be respectful, courteous and civil with staff and inmates, and shall not use coarse, loud, indecent, profane or unnecessarily harsh language nor do anything that might incite any person to violence while on the Institution premises.
4. I will meet standards established in my job description and report conditions or circumstances that would prevent me from performing my job effectively or completing my assigned tasks, I shall bring to the supervisor's attention unclear instructions or procedures.
5. I will not engage in "horseplay" or playing of pranks while on the premises.
6. I will not violate any Federal, State or local laws or ordinances.
7. I will report any facts, information or evidence relating to a criminal offence or case in accordance with established department procedures.
8. I will not knowingly falsify, enter, or cause to be entered, any inaccurate, false or improper information on Institutional documents.
9. I will surrender all departmental property issued to me upon termination of my assignment.
10. I will give any department property or evidence that has been found or recovered to my immediate supervisor.
11. I will not consume, nor otherwise use any intoxicants, nor be intoxicated, while on Institutional assignments. I will not have in my possession any of these substances or any item they would impact the safety or control of the institution.
12. I will not bring any over the counter medications except for what is needed for that day, tobacco products, alcohol, firearms, ammunition or drugs onto prison property or to an inmate under jurisdiction of Utah State Corrections.
13. I will not fraternize with, nor develop personal relationships with offenders. When answering questions I will be brief, polite and to the point.

- a. I will never discuss an offender's case with them except as a required part of my job.
 - b. I will not become involved socially with the offenders under custody or supervision of the department, nor will I seek to be socially involved with an offender's family. If I choose to become involved socially with an inmate, I understand that I will be suspended from the visiting list for the inmate for 12 months and immediately removed from the project and will not be allowed to work on corrections controlled property regardless of the task.
 - c. I will not compromise security as a result of communication of interaction with offenders.
 - d. I understand that becoming overly and/or unnecessarily familiar with offenders is unwise.
14. I will immediately notify department personnel of any friendships or relationships that I have with an incarcerated offender or offender under the control and supervision of UDC.
15. I am prohibited from accepting loans gifts, gratuities, and/or other favors from offenders.
16. I will not loan/give money or other property to offenders; nor purchase or bargain for items belonging to offenders; nor sell any Item to offenders; nor enter into any business transactions with inmates of their families.
17. I am prohibited from discussing departmental or personal business with offenders I shall exercise proper caution at all times concerning what is said, to whom it is said, and who can overhear.
18. I will not discuss with offenders any issues involving other contractors, volunteers and/or Institutional staff. I will not become involved in any conflicts between inmates and/or inmates and staff
19. If I have reason to believe that an inmate has valuable information he wishes to divulge, I will refer that inmate to the Institutional administration.
20. I will bring my concerns to the attention of my supervisor if I have reason to believe that any of the following situations have arisen or might arise:
- a. Development of inappropriate relationships with offenders, their families and/or their friends;
 - b. A conflict of interest situation and/or any situation from which personal gain may be obtained, or
 - c. Questions arising from personal loyalties, beliefs, or values which might impair professional judgment or independence.
21. I will bring only authorized items that are necessary in the performance of the assigned duties into the institution. I will not take unauthorized personal items into nor out of the institution.

22. I will not carry out nor bring in letters, notes books, food or messages for inmates. I will not make purchases in the community for any inmate.
23. I will not bring any reading or Pornographic material onto institutional property that is not specifically authorized by Department Policy or Department Administration.
24. I will report in writing incidents or occurrences involving an omission or violation of the rules, regulations and requirements set forth in the departmental policies and procedures.
25. I will not engage in religious discussions or debates to the detriment of good discipline, nor speak disparagingly of the nationality, race or beliefs of any person while on duty,
26. I will comply with the State Indoor Clean Air Act, UCA 76-10-106- I understand that smoking is allowed only in designated areas and will make certain I know where those areas are and comply with the current policy.
27. I will not use my Department of Correction's position or my official identification card for
 - a. personal or financial gain;
 - b. obtaining privileges not otherwise available to me.
28. I will not be permitted to take inmates out of the Institution for any reason except that which is authorized by the Institution's administration.
29. I understand that I will not be allowed use of tape recorders, video recording equipment and/or cameras unless first obtaining written approval from the warden or division director.
30. I shall not perform any act which constitutes a threat to the safety, welfare or health of self or others; that which substantially threaten the safety, security or control of the department is prohibited. Such prohibited conduct includes, but is not limited to:
 - a. Improper use of equipment, material or supplies:
 - b. Creating or contributing to unsanitary or unsafe conditions:
 - c. The unauthorized use, or possession of firearms, ammunition, explosives or incendiary devices on departmental property
 - d. The unauthorized use, possession or duplication of any, locking or restraining device or key.
31. I will maintain an inventory of all tools or devices that are necessary in the performance of my duty. This inventory will be used to account for tools and hardware used on institutional property at the end of work each day. Any discrepancy's will be immediately reported to internal security or other prison

- personnel. All items that could be used in an escape or aid in an escape will be secured off site outside of the secure perimeter at the end of each workday. All cutting tools including bolt cutters, wire cutters, hacksaws, carbide saws and diamond saws will be removed and secured outside the secure perimeter. Disposal of worn or damaged tools or cutting devices will be done outside of institutional property.
32. I will not interfere with any department business or work activities, or substantially distract or disrupt any member in the performance of their duties.
33. I understand that it is expressly prohibited for me:
- a. to use state-owned or managed property or facilities in unauthorized ways;
 - b. to distribute or post any written or printed material, absent prior authorization,
 - c. to engage in any intentional slowdown, work stoppage, "blue flu" or strike;
 - d. to engage in any activity or business not departmental-related, either personally or as an agent of any other agency or organization, on department property or while on state time, absent prior authorization.
 - e. to remove or alter any posted information absent prior authorization; and
 - f. to distribute or disclose confidential, private or privileged information.
34. In general, I understand and will observe normal workplace procedures and will comply with state and department administrative policies, procedures and regulations.
35. I understand that I will be subject to disbarment, from any institutional property and/or legal liability if it is found that I have participated in an act, conspired to commit an act, served as an accessory or accomplice in the commission of any act, or failed to report any act, which violates these rules and regulations, other department policies and procedures or the laws of the State of Utah or of the United States.
36. I have read and understand the code of conduct and agree to comply by signing this agreement.

Signature

Date

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